

Privacy Policy

Rev. 1/2023

Facts **What Does Gould Asset Management LLC Do With Your Personal Information?**

Why? Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What? The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security Number and Assets
- Account Transactions and Account Balances
- Transaction History and Wire Transfer Instructions

How? All financial companies need to share customers’ personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers’ personal information; the reasons Gould Asset Management LLC chooses to share; and whether you can limit this sharing.

Reasons We Can Share Your Personal Information	Does Gould Asset Management Share?	Can You Limit This Sharing?
For our everyday business purposes —such as to process your transactions, maintain and report on your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes —to offer our products and services to you	No	We don’t share
For joint marketing with other financial companies	No	We don’t share
For our affiliates’ everyday business purposes —information about your transactions and experiences	No	We don’t share
For our affiliates’ everyday business purposes —information about your creditworthiness	No	We don’t share
For nonaffiliates to market to you	No	We don’t share

Questions?	Call 909-445-1291 or email compliance@gouldasset.com
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What We Do	
How does Gould Asset Management protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings. Our agreements with third-party recipients of personal information require that they treat such information confidentially.
How does Gould Asset Management collect my personal information?	<p>We collect your personal information, for example, when you</p> <ul style="list-style-type: none"> • tell us about your investment or retirement portfolio • enter into an investment advisory contract • provide account information • give us your contact information • make deposits or withdrawals from your account <p>We also collect your personal information from other companies.</p>
Why can't I limit all sharing?	<p>Federal law gives you the right to limit only</p> <ul style="list-style-type: none"> • sharing for affiliates' everyday business purposes – information about your creditworthiness • affiliates from using your information to market to you • sharing for nonaffiliates to market to you <p>State laws and individual companies may give you additional rights to limit sharing.</p>

Definitions	
Affiliates	<p>Companies related by common ownership or control. They can be financial and nonfinancial companies.</p> <p><i>Gould Asset Management LLC has no affiliates.</i></p>
Nonaffiliates	<p>Companies not related by common ownership or control. They can be financial and nonfinancial companies.</p> <p><i>Gould Asset Management LLC does not share with nonaffiliates so they can market to you.</i></p>
Joint Marketing	<p>A formal agreement between nonaffiliated financial companies that together market financial products/services to you.</p> <p><i>Gould Asset Management LLC doesn't jointly market.</i></p>